

JC09 Rec'd PCT/PTO 1 4 MAR 2001 Rec'd PCT/PTO 1 4 MAR 2001 9/700813

PATENT

ATTORNEY DOCKET NO.: 044574-5029

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applica	tion of:)	
Rocio M. SIE	ERRA-H	ONIGMANN)	
U.S. Applicat	tion No.:	09/700,813)	Group: Unassigned
International	Appl. Fi	ling Date: Ma	y 20, 1999)	
Date of Natio	nal Stag	e Entry: Nove	mber 20, 2000)	Examiner: Unassigned
	ULATIOND HEA		OGENESIS AN	D)	
BOX PCT Commissione Washington,					
					SING REQUIREMENTS CLECTED OFFICE (DO/EO/US)
1.		•	otification of M nailed <u>December</u>	_	Requirements (Form 00.
	A copy		cation of Missin	g Requi	irements (Form PTC/DO/EO/905)
2.	Declar	ation Or Oath			
	[X]		on or oath was fi and Power of At		nclosed is the original Combined
		speci		amend	o the declaration is a copy of the ments thereto which were filed in the
	[]				iled was determined to be defective. on and Power of Attorney is attached

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3. English Translation of Non-English Language Papers

- [] Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.
- [] Also enclosed is the Verification of Translation Document

4. Fee Calculation

·	Basic Fee: PCT Application-\$840.00			1 ;
	Number Filed	Number Extra	at a Rate of	
Total Claims	-20 =	0	\$18.00 each=	+\$0.00
Independent Claims	- 3 =	0	\$78.00 each=	+\$0.00
Multiple dependent claim(s), if any \$260.00			\$260.00	+\$0.00
Missing Requirements Surcharge Fee \$130.00			\$130.00	\$130.00
SUB-TOTAL = \$130.00				\$130.00
Reduction by ½ for filing by small entity. All entities associated with this application presently fully meet the requirements of 36 CFR §1.9(d). \$65.00				
Fee For Application Filed With A Non-English Specification (37 CFR 1.17(k) and 1.52(d) \$130.00			\$130.00	+\$
Fee For Processing and retention of application (37 CFR 1.21(l) and 1.53(d)			\$130.00	+
TOTAL FILING FEE = \$65.00				

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5. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

[X] Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

Total months requested	Fee for extension	[fee for Small Entity]
[] one month	\$ 110.00	\$ 55.00
[X] two months	\$ 390.00	\$195.00
[] three months	\$ 890.00	\$445.00
[] four months	\$1,390.00	\$695.00
[] five months	\$1,890.00	\$945.00

Extension of time fee due with this request:

\$195.00

If an additional extension of time is required, please consider this a Petition therefor.

- [] Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.
- 6. Fee Payment

The total fee due is: Completion Fees \$\\\ \text{Extension Fees}\$ \\\\ \text{S195.00}\$ \\\\ \text{Total Fee Due}\$ \\\\\ \text{\$260.00}\$

[X] Please charge Deposit Account No. 50-0310 in the amounts of \$260.00 and \$40.00 representing the Missing Requirements Surcharge, 2 months extension of time fee and an assignment recordation fee, respectively.

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[X] Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

7. Additional papers enclosed.

Preliminary Amendment
PCT/IB/338 English translation of the International preliminary Examination Report
Information Disclosure Statement
Form PTO-1449, ___ documents as listed
Declaration of Biological Deposit
Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Reg. No. 40,

Date: March 14, 2001

Customer No. 009629 MORGAN, LEWIS & BOCKIUS LLP 1800 M Street, N.W. Washington, D.C. 20036 (202) 467-7000

acid sequence.

09/700813		Address: ASSIST: Box PCT Washingt	#MISSIONEI	R FOR PATENTS
U.S. APPLICATION NO.			D APPLICANT	ATTY, DOCKET NO.
9/700,813	SIERRA HONI	GMANN	R	·
			INTERN	ATIONAL APPLICATION NO.
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1800 M STREET NW		<i>લ</i> ો	I.A. FILING	DATE PRIORITY DATE
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ı		<i>\$</i>		12/14/00
NOTIFICATION OF MIS	SING REQUIREM	> Ents unde	R 35 U.S.C.	371 IN THE UNITED
STATES	DESIGNATED/EI	ECTED OF	TICE (DO/EO	/US)
1. The following items have been Office as Designated	submitted by the applica	unt or the IB to th	ne United States F	Patent and Trademark
	Office (37 CFR 1.494), fice (37 CFR 1.495):			
10.S. Basic National Fee.	1100 (57 OI 11 1.475).			
Copy of the international a	pplication in:		RF	ECEIVED
non-English				ACTEAED
English.			DE	C 1 a 2000
Translation of the internati	onal application into Eng	glish.		
Oath or Declaration of inverse Copy of Article 19 amends		•	MUNCAN	I, LEWIS & BOCKIUS LLP
Pranslation of Article 19 a				
The International Prelimina			Annexes, if any	
☐ Translation of Annexes to	the International Prelimi	nary Examination	Report into Engl	lish.
Preliminary amendment(s)		and		_
☐ Information Disclosure Sta☐ Assignment document.	tement(s) filed	and	-Docksted 12	-20-00 Attorney EEV (6
Power of Attorney and/or	Change of Address		Case 445	14-5029
Substitute specification file	d		Due Date 1-1	4-01
Statement Claiming Small	Entity Status.		Action Miss	sing Requiremen
Priority Document.				
Copy of the International S	search Report \square and co	pies of the refere	nces ched therein	UILK VISTA
2. The following items MUST be	furnished within the ne	riad sat famb bal	la and a	
acceptance under 35 U.S.C. 371:	ramaned within the per	nod set form beit	ow in order to con	mplete the requirements for
a. Translation of the applic	ation into English. Note	a processing fee	will be required	if submitted
later than the appropriat	e 20 or 30 months from	the priority date.		
The current trans	lation is defective for the	reasons indicate	d on the attached	Notice of Defective
b. Processing fee for provi	ding the translation of th	e application and	or the Annexes 1	ater that the
/ appropriate 20 or 30 mc	onths from the priority di	ate (37 CFR 1.49	2(f)).	
C. Oath or declaration of the	ne inventors, in complian	ice with 37 CFR	1.497(a) and (b),	identifying the application
by the International appl	ication number and inter	national filing da	te.	
on the attached Po	of declaration does not c	omply with 37 C	FR 1.497(a) and	(b) for the reasons indicated
d. Surcharge for providing priority date (37 CFR 1.	the oath or declaration l	ater that the appr	opriate 20 or 30	months from the
3. Additional claim fees of \$	as a 🔲 large	entity 🔲 small e	ntity, including a	ny required multiple
dependent claim fee, are required. which fees are due (37 CFR 1.492	Applicant must submit	the additional cla	im fees or cancel	the additional claims for
ALL OF THE ITEMS SET FOR MONTH FROM THE DATE OF DATE FOR THE APPLICATIO RESULT IN ABANDONMENT.	THIS NOTICE OR B	Y □ 21 OR □√3	1 MONTHS FR	OM THE PRIORITY
The time period set above may be CFR 1.136(a).	extended by filing a peti	tion and fee for e	extension of time	under the provisions of 37
4. Translation of the Annexes MU cancelled. Note processing fee wii 5. The Article 19 amendments a 1.494(d)) or 30 (37 CFR 1.495(d))	Il be required if submitte are cancelled since a tran months from the priorit	d later than 30 muslation was not page y date.	nonths from the provided by the ap	riority date. opropriate 20 (37 CFR
Applicant is reminded that any con address given in the heading and in	nmunication to the Unite	d States Patent at	nd Trademark Off	fice must be mailed to the

A copy of this not	tice MUST be returned Notice of Defective Translation	with this response
Enclosed: PCT/DO/EO/917 PTO-875	☐ Notice of Defective Translation	Kaya Baltimore National Stage Procession
FORM PCT/DO/EO/905 (December 1	1997) Telephone: (National Stage Process (703) (703) 305-3025